Introduction to HTAP

Batch run and optimization capabilities for HOT2000 V11.3

# Required software

HTAP depends on the following third-party components.

* Java: <https://java.com/en/download/>
* Ruby: <http://rubyinstaller.org/>
* Github desktop: <https://desktop.github.com/>
* GenOpt: <https://simulationresearch.lbl.gov/GO/>

In addition to these, you may find the following tools useful:

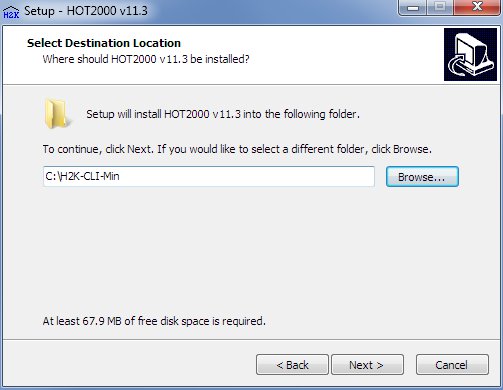
* A text file editor, such as notepad++
* A data analysis program, such as Matlab, tableau or excel.

# HTAP installation and configuration

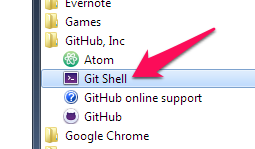
HTAP consists of two parts:

1. HOT2000 v11.3 (including command-line client)
2. HTAP scripts and configuration files.

**a)** To download HOT2000 v11.3, visit <https://drive.google.com/open?id=0B739af025L-QSVY3YW15dEFkWG8>, and install these files in the following order:

1. vc\_redist.x86.exe (install this one first)
2. HOT2000 v11.3 Setup.exe - if you don't already have it
3. HOT2000 v11.3 Setup(CliModeOnly).exe - When prompted, set the destination location to C:\H2K-CLI-Min (as below)  
     
   

**b)** To Install the HTAP scripts and configuration files, checkout the files from GitHub:

1. Open up the git shell from the start menu  
     
   
2. Type in the following command from location C:\>

PS C:\> git clone https://github.com/NRCan-IETS-CE-O-HBC/HTAP.git

Cloning into 'HTAP'...

remote: Counting objects: 996, done.

remote: Total 996 (delta 0), reused 0 (delta 0), pack-reused 996

Receiving objects: 100% (996/996), 12.02 MiB | 2.46 MiB/s, done.

Resolving deltas: 100% (590/590), done.

PS C:\>

Git will create a new folder on your computer at location C:\HTAP. This folder will contain the HTAP scripts, some archetypes, and configuration files.

1. Before you can run HTAP simulations, you must first copy the archetype files to the   
   C:\H2K-CLI-Min\User\ directory. HTAP includes a ruby script to do this for you:

PS C:\> cd .\HTAP\Archetypes

PS C:\HTAP\Archetypes> ruby .\CopyToH2K.rb

>> Copying ./BC-Step-LargeSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-MediumSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-MURB10.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-MURB20.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-Quad-BCH.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-Quad-mkt.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-LargeSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-MediumSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-Murb1.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-Quad.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-Row.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-rev-SmallSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-Row-11uBCH.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-Row-mkt.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./BC-Step-SmallSFD.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./KelownaHouse.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./PrinceGeorgeHouse.h2k to C:\H2K-CLI-Min\User\... done.

>> Copying ./codeLib.cod to C:\H2K-CLI-Min\StdLibs\... done.

>> Copying ./FuelLib16.flc to C:\H2K-CLI-Min\StdLibs\... done.

PS C:\HTAP\Archetypes>

Note that the CopyToH2k.rb script will copy all HOT200 files and libraries from the C:\HTAP\Archetypes folder to C:\H2K-CLI-Min\User. To add additional archetypes to the HTAP platform, you merely need to copy them into C:\HTAP\Archetypes, and re-run the CopyToH2k.rb script

# Verifying the installation

**1) Test HOT2000 and the substiture-h2k.rb** script by running the following command from the C:\HTAP directory – abridged output appears below.

PS C:\HTAP> **.\substitute-h2k.rb -vv -o .\HOT2000.options -c .\HOT2000.choices -b C:\H2K-CLI-Min\User\KelownaHouse.h2k**

> substitute-h2k.rb

path: C:\HTAP

ChoiceFile: .\HOT2000.choices

OptionFile: .\HOT2000.options

Base model: C:\H2K-CLI-Min\User\KelownaHouse.h2k

HOT2000 source folder: C:\H2K-CLI-Min

HOT2000 run folder: C:\HTAP\H2K

Reading available options (.\HOT2000.options)... done.

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A lot of output appears here

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----------------------- SIMULATION RESULTS ---------------------------------

Peak Heating Load (W): 20793.0

Peak Cooling Load (W): 19403.6

Energy Consumption:

55.1 ( Space Heating, GJ )

5.8 ( Hot Water, GJ )

1.4 ( Ventilator Electrical, GJ )

3.9 ( Space Cooling, GJ )

24.3 ( Appliances + Lights + Plugs + outdoor, GJ )

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90.5 ( H2K Gross energy use GJ )

Total processing time: 3.75 seconds (H2K run: 1.57 seconds)

PS C:\HTAP>

**2) Test GenOpt** by running the following command from the C:\HTAP directory – abridged output appears below.

PS C:\HTAP> java -cp "C:\Program Files\genopt\genopt.jar" genopt.GenOpt .\Genopt-H2K-INI.GO-ini

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GenOpt(R) 3.1.0, December 8, 2011

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required approvals from the U.S. Dept. of Energy).

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Assigning 4 threads for simulations.

Require 6 function evaluations.

Simulation 1: SimplePaybackYrs = 3137.1

Simulation 1: EnergyTotal = 140.7

Simulation 1: UtilBillNoPVRevenueDoll = 3137.13

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A lot of output appears here

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Simulation 6: PEAKHeatingW = 15481.3

Simulation 6: ERS-Value = 0.0

Simulation 6: NumTries = 1.0

GenOpt completed successfully.

PS C:\HTAP>

# Application — running a HOT2000 single simulation

HTAP provides a means to run HOT2000 from the command line using the substiture-h2k.rb script. The syntax is:

PS C:\HTAP> .\substitute-h2k.rb [-v] –o OPTIONS-FILE   
 –c CHOICE-FILE

* The substitute-h2k.rb script is the pre- and post-processor that automates HOT2000. It can manipulate HOT2000 input files, start HOT2000 simulations, and parse HOT2000 outputs.
* The OPTIONS-FILE defines all of the attributes within a HOT2000 model that can be manipulated via HTAP, and the valid values that they can be set to. It also contains cost data for upgrade specifications.
* The CHOICE-FILE defines the values that each HOT2000 parameter should be set to in the current simulation.

## The HOT2000.options file

Most of HTAP’s data are stored in the .options file. The option file contains a list of attributes that HTAP can edit within HOT2000 input (.h2k) file. An excerpt from the HOT2000.options file follows:

!-----------------------------------------------------------------------

! Photovoltaics

! Use internal HOT2000 PV Generation model

! Choice file used to specify Internal PV OR External PV but not both!

!-----------------------------------------------------------------------

\*attribute:start

\*attribute:name = Opt-H2K-PV

\*attribute:tag:1 = Opt-H2K-Area ! m2

\*attribute:tag:2 = Opt-H2K-Slope ! degrees from horizontal

\*attribute:tag:3 = Opt-H2K-Azimuth ! degrees from S

\*attribute:tag:4 = Opt-H2K-PVModuleType ! 1:Mono-Si, 2:Poly-Si, 3:a-Si, 4:CdTe, 5:CIS,

! 6:UsrSpec

\*attribute:tag:5 = Opt-H2K-GridAbsRate ! %

\*attribute:tag:6 = Opt-H2K-InvEff ! %

\*attribute:default = NA

\*option:NA:value:1 = NA

\*option:NA:value:2 = NA

\*option:NA:value:3 = NA

\*option:NA:value:4 = NA

\*option:NA:value:5 = NA

\*option:NA:value:6 = NA

\*option:NA:cost:total = 0

\*option:MonoSi-5kW:value:1 = 53 !53m2 is required area for 5 kW for Mono-Si

\*option:MonoSi-5kW:value:2 = 18.4 !22.6 for 5-12 roof in Prince George and 18.4 for 4-12 slope in Kelowna

\*option:MonoSi-5kW:value:3 = 0

\*option:MonoSi-5kW:value:4 = 1

\*option:MonoSi-5kW:value:5 = 90

\*option:MonoSi-5kW:value:6 = 90

\*option:MonoSi-5kW:cost:total = 21500 !$21500 assumed cost for 5 kW PV system

\*option:MonoSi-10kW:value:1 = 107 !107m2 is required area for 10 kW for Mono-Si

\*option:MonoSi-10kW:value:2 = 18.4 !22.6 for 5-12 roof in Prince George

\*option:MonoSi-10kW:value:3 = 0

\*option:MonoSi-10kW:value:4 = 1

\*option:MonoSi-10kW:value:5 = 90

\*option:MonoSi-10kW:value:6 = 90

\*option:MonoSi-10kW:cost:total = 33395 !$33395 assumed cost for 10 kW PV system for !   
 !Prince George & Kelowna LEEP

\*attribute:end

This section defines data for the Opt-H2K-PV attribute. Three options are available: NA, MonoSi-5kW, and MonoSi-10kW. Throughout the Subsitute-h2k.rb interprets the NA specification as instructions to leave the existing .h2k file unaltered – that is, the values for those inputs that were provided when the file was saved in HOT2000 will be preserved when the file is run in HTAP.

The remainder of the data for each attribute describe tags, values, and costs. Each tag identifies a key word that substitute-h2k.rb associates with part of the HOT2000 data model. For instance, Opt-H2K-InvEff refers to the inverter efficiency of PV modules. Each value provides the alphanumeric input that must be substituted within the .h2k file. For example, the inverter efficiency will be set to 90% for the MonoSI-10kW case in the snippet above.

TODO: Table defining all HTAP options to be inserted here.

TODO: Add note on costing .

## The HOT2000.choice file

The .choice file contains a token-value list that defines the option that HTAP should use for each attribute. The syntax for each is TOKEN : VALUE, and comments are denoted with a exclamation mark (!). Entries in the choice file must obey the following rules:

* Each token must match one of the attributes in the .options file
* Each value must match on of the options given for that attribute in the .options file
* NA values instruct the substiture-h2k.rb script to leave the associated data in the .h2k file alone – that is, whatever inputs were provided when the file was created in HOT2000 will be used in the HTAP simulation.

An example .choice file follows. In this example, the .choice file instructs HTAP to replace the heating system with a cold-climate air source heat pump, the DHW system with a heat pump water heater, and to add a drain-water heat recovery device. All other inputs are left unchanged.

!-----------------------------------------------------------------------

! Choice file for use in exercising HOT2000

!

! The H2K model file used is a valid model and nothing needs to be

! changed for it to run! Using "NA" on any of the options below

! leaves the model unchanged for that option.

!-----------------------------------------------------------------------

! HOT2000 code library file to be used - MUST ALWAYS BE SPECIFIED HERE

Opt-DBFiles : H2KCodeLibFile

! Weather location

Opt-Location : NA

! Archetype file:

Opt-Archetype: NZEH-Arch-1

! Fuel costs

Opt-FuelCost : rates2016

! Air tightness

Opt-ACH : NA

! Ceiling R-value

Opt-Ceilings : NA

! Main wall definitions

Opt-GenericWall\_1Layer\_definitions : NA

! Exposed floor

Opt-ExposedFloor : NA

! Optical and thermal characteristics of casement windows (all)

Opt-CasementWindows : NA

! Foundation definitions

Opt-H2KFoundation : NA

! Hot water system.

Opt-DHWSystem : HPHotWater

! Drain-water heat recovery

Opt-DWHRSystem : DWHR-eff-30

! HVAC system

Opt-HVACSystem : CCASHP

! HRV spec

Opt-HRVspec : NA

Opt-RoofPitch : NA !6-12

! External (Opt-StandoffPV) and Internal model (Opt-H2K-PV) PV options.

! Substitute-h2k.rb will select external if both are specified!

Opt-StandoffPV : NoPV !SizedPV|8kW

Opt-H2K-PV : NA ! MonoSi-200m2 !MonoSi-50m2

!---------------------------------------------------------------------------

! The following options don't do anything for HOT2000

!---------------------------------------------------------------------------

! Set the orientation for the model (N,S,E,W, or AVG to run all four directions

! and compute an average result).

! \*\*\*NOTE: As of Dec 2015 this attribute only determines numbers of runs (1 or 4)

! for HOT2000 -- it doesn't rotate the model yet.

GOconfig\_rotate : S

Opt-Cooling-Spec : 2TonStdCooling

## Substitute-h2k.rb output

When executed, Substiture-h2k.rb will perform the requested changes on the .h2k file, invoke HOT2000 and collect the results. Summary results are reported to screen, as shown in the following excerpt:

PS C:\HTAP> .\substitute-h2k.rb -v -o .\HOT2000.options -c .\HOT2000.choices

> substitute-h2k.rb

path: C:\HTAP

ChoiceFile: .\HOT2000.choices

OptionFile: .\HOT2000.options

Base model: Not specified. Using archetype specified in .choice file

HOT2000 source folder:

HOT2000 run folder:

Reading available options (.\HOT2000.options)... done.

Reading user-defined choices (.\HOT2000.choices)... done.

Validating choices and options... done.

Creating a copying of HOT2000 executable directory below master... 197 File(s) copied

Creating a copy of HOT2000 model file for optimization work... 1 file(s) copied.

(File C:\HTAP\Arch-1-NZEH-detached-2-story.h2k created.)

Invoking HOT2000 (PID 7504)... Hot2000 (PID: 7504) finished with exit status 0

The run was successful (2.22 seconds)!

Copying results. 1 file(s) copied.

Parsing results... done

----------------------- SIMULATION RESULTS ---------------------------------

Peak Heating Load (W): 11131.9

Peak Cooling Load (W): 10914.9

Energy Consumption:

34.6 ( Space Heating, GJ )

6.0 ( Hot Water, GJ )

2.4 ( Ventilator Electrical, GJ )

2.1 ( Space Cooling, GJ )

31.5 ( Appliances + Lights + Plugs + outdoor, GJ )

--------------------------------------------------------

76.7 ( H2K Gross energy use GJ )

Total processing time: 5.88 seconds (H2K run: 2.22 seconds)

Substitute-h2k.rb produces a summary output named SubstiturePL-output.txt; an example follows.

Energy-Total-GJ = 86.7

Ref-En-Total-GJ = 0.0

Util-Bill-gross = 2160.49

Util-PV-revenue = 0.0

Util-Bill-Net = 2160.49

Util-Bill-Elec = 1992.49

Util-Bill-Gas = 168.0

Util-Bill-Prop = 0.0

Util-Bill-Oil = 0.0

Util-Bill-Wood = 0.0

Energy-PV-kWh = 0

Gross-HeatLoss-GJ = 172

Energy-HeatingGJ = 55.1

AuxEnergyReq-HeatingGJ = 107.7

Energy-CoolingGJ = 3.9

Energy-VentGJ = 1.4

Energy-DHWGJ = 5.8

Energy-PlugGJ = 24.3

EnergyEleckWh = 25404.4

EnergyGasM3 = 0.0

EnergyOil\_l = 0.0

EnergyProp\_L = 0.0

EnergyWood\_cord = 0.0

Upgrade-cost = 1185.0

SimplePaybackYrs = 2207.9

PEAK-Heating-W = 20793.0

PEAK-Cooling-W = 19403.6

PV-size-kW = 0.0

ERS-Value = 0.0

NumTries = 1.0

LapsedTime = 3.33

In addition to this summary output, comprehensive HOT2000 output is located in the edited .h2k file (in this example, C:\HTAP\KelownaHouse.h2k).

# Application — running an HTAP batch simulation

HTAP uses the GenOpt package to implement batch runs and optimization. Lawrence Berkeley National Laboratory publishes GenOpt at <https://simulationresearch.lbl.gov/GO/>. Most of the HTAP’s optimization workflow uses standard GenOpt input files and features — these are documented here: <https://simulationresearch.lbl.gov/GO/download/manual-3-1-1.pdf>

To run a simple batch simulation, use the following java command:

C:\HTAP> java -classpath "C:\Program Files\genopt\genopt.jar" genopt.GenOpt

Genopt-H2K-INI.GO-ini

Most of this command contains keywords and paths that java needs to locate the correct GenOpt package:

* The text -classpath "C:\Program Files\genopt\genopt.jar" points to the folder where GenOpt is installed.
* The text genopt.GenOpt refers to the genopt class that java will load
* The text Genopt-H2K-INI.GO-ini refers to the Genopt initialization file, which instructs GenOpt on where to find other key input files, how to invoke the substiture-h2k.rb script , and how to parse substiture-h2k.rb output.

Configuring batch and optimization runs usually requires changes to the initialization file (Genopt-H2K-INI.GO-ini), and the command file (Genopt-H2K-CMD.GO-cmd).

## The initialization (.GO-ini) file

The .GO-ini file provides information that GenOpt needs to locate other input files, to start the substitute-h2k.rb script, and to parse the output. Generally, users will leave most of these inputs unchanged. But two parts of this file are commonly edited – the CallParameter and the Command definitions.

The CallParameter section defines the command-line arguments that should be passed to substitute-h2k.rb:

// Simulator command:

CallParameter {

Prefix = "Ruby substitute-h2k.rb";1

Suffix = " -v -c GenOpt-picked-these-choices.GO-tmp -o **HOT2000.options**";

}

The suffix command includes the name and path of the options file to be used (here HOT2000.options). If a different options file is to be used with updated performance or cost data, this path should be adjusted accordingly.

The Command section defines the location of the GenOpt command file:

// Optimization configuration.

Optimization{

Files{

Command {

File1 = "**Genopt-H2K-CMD.GO-cmd**";

}

}

}

Users may define different command files for specific analysis tasks; this path and file name should be updated accordingly.

## The command (.GO-cmd) file

The command file defines how each attribute should be varied during a GenOpt batch run, and the strategy that should be used to examine the solution space. The following is an excerpt of the vary section:

Vary{

// ==========================================

// Parameters that configure the simulation

// ==========================================

// Location

Parameter{

Name = GOtag:Opt-Location;

Ini = 1;

Values = " ABBOTSFORD, PrinceGeorge";

}

// =============================================

// Parameters that change the building design

// =============================================

// Archetype definition

Parameter{

Name = GOtag:Opt-Archetype;

Ini = 1;

Values = "NZEH-Arch-1";

}

// Setting the ACH in the AIM-2 input file

Parameter{

Name = GOtag:Opt-ACH;

Ini = 1;

Values = "ACH\_2\_5, ACH\_1\_75, ACH\_1\_5, ACH\_1\_25";

}

In this example, the command file instructs GenOpt to alternate the location between Abbotsford and Prince George, and the air-tightness between values of 2.5, 1.75. 1.5 and 1.25 ACH.

The command file specifies the algorithm that GenOpt will use to explore the solution space: three algorithms are commonly used:

* **Parametric:** GenOpt evaluates the sensitivity of the model to the proposed changes by varying each parameter one at a time
* **Mesh:** GenOpt evaluates all combinations of parameters in the CMD file to fully explore the solution space
* **Optimization:** GenOpt uses an optimization algorithm to efficiently explore the solution space and narrow in on solutions that satisfy a specific criteria (e.g. lowest cost or most efficient) without evaluating every combination.

These algorithms are specified in the Algorithm section of the command file. For a parametric run, the section is set as follows:

Algorithm{

Main = Parametric;

StopAtError = true;

}

For a mesh run, the algorithm section is set as follows:

Algorithm{

Main = Mesh;

StopAtError = true;

}

While GenOpt provides a number of different optimization algorithms, only one – Particle Swarm Optimization with initial weight is compatible with the fully discrete approach used in HTAP. That section

Algorithm{

Main = PSOIW;

NeighborhoodTopology = vonNeumann;

NeighborhoodSize = 24; // Disregarded for vonNeumann topology

NumberOfParticle = 23;

NumberOfGeneration = 2000;

Seed = 628;

CognitiveAcceleration = 2; // 0 < CognitiveAcceleration

SocialAcceleration = 3; // 0 < SocialAcceleration

MaxVelocityGainContinuous = 1.0;

MaxVelocityDiscrete = 1.0; // 0 < MaxVelocityDiscrete

InitialInertiaWeight = 1.2; // 0 < InitialInertiaWeight

FinalInertiaWeight = 0; // 0 < FinalInertiaWeight

}

# Contents of the HTAP directory

|  |  |  |
| --- | --- | --- |
|  | Applications | Contains examples, and files associated with NRCan projects[[1]](#footnote-1) |
|  | Archetypes | Contains HOT2000 archetype files (.h2k), as well as fuel and construction libraries |
|  | Doc | Contains documentation on HTAP |
|  | GenerateChoiceFiles | Contains a perl script[[2]](#footnote-2) that configure HTAP to run a series of scenarios defined in a .csv file. |
| 🖹 | Genopt-GENERIC-CONFIG.GO-config | GenOpt file defining configuration options |
| 🖹 | Genopt-H2K-CMD.GO-cmd | GenOpt file defining how GenOpt should manipulate HTAP inputs during optimization |
| 🖹 | Genopt-H2K-INI.GO-ini | GenOpt file that controls how GenOpt invokes HTAP and how HTAP output should be parsed |
| 🖹 | HOT2000.choices | Sample .choice file that defines the parameters for a single HTAP simulation |
| 🖹 | HOT2000.options | Sample .options file that defines the valid options for each HTAP parameter. |
| 🖹 | HTAP-Template.choices | Template used to by GenOpt to generate files that can be read by HTAP. |
| 🖹 | HTAP-Template-MakeChoices.choices | Template used to by GenOpt to generate files that can be read by when running archetypes defined in a .csv file |
| 🖹 | recover-results.rb | Ruby script that converts GenOpt output into .csv format |
| 🖹 | runH2K.rb | Ruby script that invokes HOT2000. |
| 🖹 | start-substitute.rb | Wrapper to substitute-h2k.rb for use when running archetypes defined in a .csv file |
| 🖹 | substitute-h2k.rb | Pre- and post-processor used to invoke h2k simulations |

1. These may be implemented as a separate git submodule in the future. [↑](#footnote-ref-1)
2. Future work will re-write this perl script in ruby. [↑](#footnote-ref-2)